

UNITED STATES DEPARTMENT OF COMMERCE  
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105

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/246,451 02/09/99 ARNOLD F 93731E827US1

DARBY & DARBY  
707 WILSHIRE BOULEVARD  
32ND FLOOR  
LOS ANGELES CA 90017



NOT ASSIGNED

1643

DATE MAILED:

03/02/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 proc ssing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.52(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

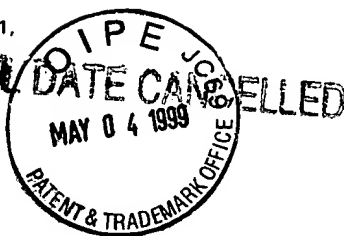
*[Handwritten signature]*

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CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, DC 20231,

on April 30, 1999 (Date of Deposit)  
4/30/99 A. DiLullo  
Date Name



SECURITY \$  
Box Seq.

Docket No.: 9373/1E827-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Frances H. **ARNOLD**, Hyun **JOO** and Zhanglin **LIN**

Serial No.: 09/246,451 Art Unit: 1643

Filed: February 9, 1999 Examiner: To Be Assigned

For: **OXYGENASE ENZYMES AND SCREENING METHOD**

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration, petition and power of attorney
2. Check in the amount of \$170.00, (\$ filing; \$40.00 recording; \$130.00 surcharge)  
(See attached **Fee Computation Sheet**)
3. ☐ Formal drawings, sheets (Figs. )  
☐ Informal drawings, sheets (Figs. )

4. ☒ Assignment for recording to: CALIFORNIA INSTITUTE OF TECHNOLOGY
5. ☐ Verified statement claiming small entity status.  
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application under 35 U.S.C. § 119, corresponding applications having been filed as follows:

Country:	US;	US;	US;	US
Number:	60/094,403;	60/106,840;	60/086,206;	60/106,834
Date:	7/28/98;	11/3/98;	5/21/98;	11/3/98

The priority documents ☐ are enclosed  
☐ will follow.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Dated: April 30, 1999

Respectfully submitted,



David B. Schram  
Reg. No. 43,096  
Attorney for Applicants

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

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Docket No. 9373/1E827-US1